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CHAPTER 1

BIOLOGICAL CAUSES OF AGING AND AGE-RELATED DISEASES

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Abstract: Aging is a progressive accumulation of molecular damage in nucleic acids, proteins and lipids. The inefficiency and failure of maintenance, repair and turnover pathways is the main cause of age-related accumulation of damage, which is also the basis of all age-related diseases. Research in molecular gerontology is aimed at understanding the genetic and epigenetic regulation of molecular mechanisms at the levels of transcription, post-transcriptional processing, post-translational modifications, and interactions among various gene products. Concurrently, several approaches are being tried and tested to modulate aging. The ultimate aim of such studies is to improve the quality of human life in old age and prolong the health-span. Various gerontomodulatory approaches include gene therapy, hormonal supplementation, nutritional modulation and intervention by free radical scavengers and other molecules. A recent approach is that of applying hormesis in aging research and therapy, which is based on the principle of stimulation of maintenance and repair pathways by repeated exposure to mild stress. A combination of molecular, physiological and psychological modulatory approaches can be effective to prevent and/or treat various age-related diseases

Keywords: lifespan, survival, longevity, stress, hormesis, homeostasis, homeodynamics

1. INTRODUCTION

The significant increase in human life expectancy during the last three generations, achieved primarily by reducing birth-related parturient-deaths and infant-deaths, is however not matched by an equivalent improvement in the health-span in old age. As a biosocial issue, aging is the underlying basis of almost all major human diseases, such as atherosclerosis, cancer, cardiovascular defects, cataract, diabetes, dementia, macular degeneration, neurodegeneration, osteoporosis and sarcopenia.

01 Although the optimal treatment of each and every disease, irrespective of age,
02 is a social and moral necessity, preventing the onset of age-related diseases by
03 intervening in the basic process of aging is the best solution for improving the
04 quality of human life in old age.

05 Biogerontology, the study of the biological basis of aging, has so far unveiled
06 mysteries of aging by describing age-related changes in organisms, organs, tissues,
07 cells and macromolecules (Rattan). The large body of published data clearly shows
08 that aging has many facets. Most significantly, the progression and rate of aging is
09 highly variable in different species, in organisms within a species, in organs and
10 tissues within an organism, in cell types within a tissue, in sub-cellular compartments
11 within a cell type, and in macromolecules within a cell. Thus, there is neither
12 a single way of defining aging, nor is there a single cause. Furthermore, these
13 observations have led most biogerontologists to abandon the notion of aging being
14 genetically programmed and to consider it as being stochastic and individualistic.
15 A combination of genes, environment and chance appear to determine the course
16 and consequences of aging and the duration of survival of an individual (longevity)
17 (Rattan and Clark, 2005).

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20 **2. PRINCIPLES OF AGING**

21 Although the descriptive data about aging suggest that there are no universal markers
22 of aging, some general principles can still be derived, which can be useful for future
23 research and intervention.

24 First, aging is considered as an emergent phenomenon seen primarily in protected
25 environments which allow survival beyond the natural lifespan in the wild. The
26 natural lifespan of a species has also been termed “essential lifespan” (ELS) (Rattan,
27 2000), or the “warranty period” of a species (Carnes et al., 2003). ELS is defined
28 as the time required to fulfil the Darwinian purpose of life, that is successful
29 reproduction for the continuation of generations. Species undergoing fast maturation
30 and early onset of reproduction with large reproductive potential generally have
31 a short ELS. In contrast, slow maturation, late onset of reproduction, and small
32 reproductive potential of a species is concurrent with its long ELS. For example,
33 the ELS of *Drosophila* is less than a week as compared with that of about 50 years
34 of *Homo sapiens*, even though in protected environments (laboratories and modern
35 societies), a large proportion of populations of both species can and do live for
36 much longer than that. Therefore, the period of extended survival beyond ELS is
37 also the period of aging.

38 Second, aging is characterized by a progressive accumulation of molecular
39 damage in nucleic acids, proteins and lipids. The inefficiency and failure of mainte-
40 nance, repair and turnover pathways is the main cause of age-related accumulation of
41 damage. Since homeostasis or homeodynamic ability of a living system is primarily
42 due to its maintenance and repair processes, it is the progressive failure of mainte-
43 nance and repair mechanisms which is the universal biochemical basis of aging and
44 age-related diseases (Holliday, 1995, 2000).

01 Third, unlike development, which is a highly programmed and well-coordinated
02 genetic process in the evolutionary life history of an organism, there is no genetic
03 programme which determines the exact duration of survival of an organism.
04 Furthermore, studies on establishing an association between genes and longevity
05 have reported that the genetic heritability of variance in lifespan is less than 35%
06 (Herskind et al., 1996; Finch and Tanzi, 1997; Korpelainen, 2000; Gudmundsson
07 et al., 2000). The evolutionary theories of aging and longevity have developed
08 sophisticated and convincing arguments against the existence of genes that may have
09 evolved specifically to cause aging and to determine the lifespan of an organism
10 (for a detailed analysis of evolutionary arguments, see (Rose, 1991; Kirkwood
11 andAustad, 2000; Gavrilov andGavrilova, 2001).

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14 **3. THE ROLE AND NATURE OF GERONTOGENES**

15 Genes that do influence longevity are those that have evolved in accordance with the
16 life history of a species for assuring ELS. Several lines of evidence support the view
17 that natural survival and longevity of a species is a function of its maintenance and
18 repair capacities. For example, positive correlations between species lifespan and the
19 ability to repair DNA, to defend against reactive oxygen species, to respond and
20 to counteract stress, and to proliferate and facilitate turnover of cells have been
21 reported. In contrast, there is a negative correlation between longevity and the
22 rate of damage accumulation, including mutations, epimutations, macromolecular
23 oxidation and aggregation (Holliday, 1995; Rattan, 1989; Rattan, 1995).

24 A lack of specific gerontogenes which cause aging does not imply that genes do
25 not or cannot influence survival, longevity and the rate of aging. There is ample
26 evidence from studies performed on yeast, fungi (Jazwinski, 1999), nematodes
27 (Johnson et al. , 2000; Johnson, 2002), insects (Rogina et al., 2000; Tatar et al.,
28 2001), rodents and humans that mutations in certain genes can either prolong or
29 shorten the lifespan, and are the cause of premature aging syndromes (Arking et al.,
30 2002; Kuro-o et al., 1997; Yu et al., 1996; Martin andOshima, 2000). Interest-
31 ingly, these genes cover a wide range of biochemical pathways, such as insulin
32 metabolism, kinases and kinase receptors, transcription factors, DNA helicases,
33 membrane glucosidases, GTP-binding protein coupled receptors, and cell cycle
34 arrest pathways with little or no overlap among them (Rattan, 2000; Johnson, 2002;
35 Martin andOshima, 2000; Warner, 2005).

36 Additionally, genetic linkage studies for longevity in mice have identified major
37 histocompatibility complex (MHC) regions (Gelman et al., 1998), and quantitative
38 trait loci on chromosomes 7, 10, 11, 12, 16, 18 and 19 (Miller et al., 1998; De Haan et al.,
39 1998) as putative genes for aging. In human centenarians, certain alleles of HLA locus
40 on chromosome 6 (Gelman et al., 1988), regions of chromosome 4 (Puca et al., 2001),
41 different alleles of APO-E and APO-B, and DD genotype of angiotensin converting
42 enzyme (ACE) have been linked to exceptional longevity. Similarly, several other
43 studies have been published reporting an association between human longevity and
44 single nucleotide polymorphisms in a variety of genes, including heat shock response,

01 immune response, cholesterol metabolism and others (Altomare et al., 2003; Tan et al.,
02 2001; Singh et al., 2004; Bessenyei et al., 2004; Atzmon et al., 2005).

03 The diversity of the genes associated with longevity of different organisms indicates
04 that whereas the common or “public” genes such as those involved in repair and
05 maintenance pathways may be important from an evolutionary point of view, each
06 species may also have additional “private” or specific gerontogenic pathways which
07 influence its aging phenotype (Martin, 1997). Further evidence that the mainte-
08 nance and repair pathways are crucial determinants of natural survival and longevity
09 comes from experiments performed to retard aging and to increase the lifespan of
10 organisms. For example, anti-aging and life-prolonging effects of caloric restriction
11 are seen to be accompanied by the stimulation of various maintenance mechanisms.
12 These include increased efficiency of DNA repair, increased fidelity of genetic infor-
13 mation transfer, more efficient protein synthesis, more efficient protein degradation,
14 more effective cell replacement and regeneration, improved cellular responsiveness,
15 fortification of the immune system, and enhanced protection from free-radical- and
16 oxidation-induced damage (Masoro and Austad, 1996; Yu, 1999; Weindruch, 1996).
17 Genetic selection of *Drosophila* for longer lifespan also appears to work mainly
18 through an increase in the efficiency of maintenance mechanisms, such as antioxi-
19 dation potential (Luckinbill and Foley, 2000). An increase in lifespan of transgenic
20 *Drosophila* containing extra copies of Cu-Zn superoxide dismutase (SOD) and catalase
21 genes appears to be due primarily to enhanced defenses against oxidative damage (Orr
22 and Sohal, 1994). The identification of long-lived mutants of the nematode *Caenorhab-*
23 *ditis elegans*, involving various genes provides other examples that increased lifespan
24 is accompanied by an increased resistance to oxidative damage, an increase in
25 the activities of SOD and catalase enzymes, and an increase in thermotolerance
26 (Lakowski and Hekimi, 1996; Larsen, 1993; Lithgow et al., 1995) In contrast, reduced
27 activity of the tumour suppressor defense gene *p53* induces premature aging in
28 mice (Tyner et al., 2002). A comparative analysis of oxidative stress resistance
29 ability of cells isolated from a variety of animals also showed that species lifespan
30 was directly related to the cellular antioxidative defense ability (Kapahi et al., 1999).

31 What is clear from the identification of the genes influencing aging and longevity
32 is that whatever their normal function and mechanism of action may be, these
33 gerontogenes did not evolve to accumulate damage specifically, to cause age-
34 related changes and to kill the organism. Since their involvement in influencing
35 aging and longevity is also a biological fact, such genes have been termed “virtual
36 gerontogenes” (Rattan, 1995, 1998). “Post-reproductive genetics” is another term
37 used in order to explain different biological roles played at different ages by the
38 same genetic variants (Franceschi et al., 2005).

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40 **4. MOLECULAR MECHANISMS OF AGING**

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42 A generalised definition of aging as the failure of homeodynamics still requires
43 mechanistic explanation(s) as to why such a failure occurs in the first place and
44 what controls the rate of failure in different species. Over the last fifty years,

01 researchers have proposed a large number of hypotheses which attempt to explain
02 how the observed age-related changes in macromolecules, cells, tissues, organs
03 and systems may occur. Main examples of such hypotheses include altered gene
04 regulation (Kanungo, 1994), somatic mutation accumulation (Morley, 1995; Vijg,
05 2000), protein errors and modifications (Holliday, 1996), reactive oxygen species
06 and free radicals (Harman, 1994), immune-remodeling and neuroendocrine dysfunc-
07 tioning (Franceschi et al., 2000). At the cellular level, the so-called telomere loss
08 theory (Harley et al., 1992; Olovnikov, 1996), and epimutation theory of progressive
09 loss of DNA methylation (Holliday, 1995) are other examples of providing mecha-
10 nistic explanations for the loss of proliferative potential of normal, differentiated
11 and diploid cells *in vitro* and *in vivo*.

12 These and other related hypotheses which provide a variety of explanations for
13 understanding the observed age-related alterations at a specific level can be quite
14 useful within their area of focus. However, in order to answer the question why
15 the occurrence of detrimental and eventually lethal changes cannot be avoided
16 completely, one has to appeal to the evolutionary theories of aging and longevity,
17 as discussed above.

18 Several theoretical and mathematical models are being developed in order
19 to understand the interactive nature of the biological networks and trade-offs
20 (Franceschi et al., 2000; Kowald and Kirkwood, 1996) Recently, the reliability
21 theory of aging and longevity about the inevitable failure of complex systems such
22 as cells and organisms (Gavrilov andGavrilova, 2001) has reiterated the fundamental
23 law that no process can be one-hundred-percent accurate one-hundred-percent of
24 the time, and it is the interactive nature of genes, milieu and chance that effec-
25 tively determines how long a system can survive. Therefore, to resolve the issue of
26 widely varying rates of aging in nature, it is important to undertake comparative
27 studies on various aspects of the aging process in a variety of organisms with
28 widely differing life-history scenarios. Only then a complete understanding of the
29 mechanistic aspects of aging will be achieved and better methods of intervention
30 could be developed.

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32 **5. AGING AND AGE-RELATED DISEASES: THERAPY** 33 **OR PREVENTION?** 34

35 Unlike some other fields of research, it is central to biogerontology that effective
36 means of intervention are found, developed and applied for modulating human
37 aging in order to prevent the onset of age-related diseases and improving the quality
38 of life in old age. According to the three principles of aging and longevity described
39 above, having the bodies that we have developed after millions of years of evolution,
40 occurrence of aging in the period beyond ELS, and the onset of one or more
41 diseases before eventual death appear to be the “normal” sequence of events. This
42 viewpoint makes modulation of aging different from the treatment of one or more
43 specific diseases. In the case of a disease, such as a cancer of any specific kind,
44 its therapy will, ideally, mean the removal and elimination of the cancer cells and

01 restoration of the affected organ/tissue to its original disease-free state. What will be
02 the “treatment” of aging and to what original “age-free” stage one would hope to be
03 restored – to day 1, year 1, 10, 30, 50 or what? Considering aging as a disease and
04 then trying to cure that disease is unscientific and misguided. Similarly, although
05 piecemeal replacement of non-functional or half-functional body parts with natural
06 or synthetic parts made of more durable material may provide a temporary solution
07 to the problems of age-related impairments, it does not modulate the underlying
08 aging process as such.

09 Scientific and rational anti-aging strategies aim to slow down aging, to prevent
10 and/or delay the physiological decline, and to regain lost functional abilities.
11 However, the history of anti-aging research and therapy is replete with fraud,
12 pseudoscience and charlatanism, and has often given a bad name to the whole
13 field (Boia, 2004). Claims for miraculous remedies and promises for extremely
14 long lifespan are prevalent even today. Recently, highly critical analyses of such
15 approaches have been made by biogerontologists with a view to educate and inform
16 people about the science and non-sense of aging-intervention research (Olshansky
17 et al., 2002).

18 While not giving serious consideration to the claims made by charlatans, it
19 cannot be ignored that several researchers are making genuine attempts to test
20 and develop various means of intervention for the prevention and treatment of
21 age-related diseases, for regaining the functional abilities and for prolonging the
22 lifespan of experimental organisms. Some of the main anti-aging approaches include
23 supplementation with hormones including growth hormone, dehydroepiandrosterone
24 (DHEA), melatonin and estrogen, and nutritional supplementation with
25 synthetic and natural antioxidants in purified form or in extracts prepared from
26 plant and animal sources (Rattan, 2003; Ferrari, 2004). Although some of these
27 approaches have been shown to have some clinical benefits in the treatment of
28 some diseases in the elderly, none of these really modulate the aging process
29 itself (Olshansky et al., 2002). Furthermore, claims for the benefits of intake
30 of high doses of vitamins and various antioxidants and their supposed anti-
31 aging and life-prolonging effects have very little scientific evidence to back them
32 (Le Bourg, 2005).

33 In contrast to this, nutritional modulation through caloric restriction (CR) has been
34 shown to be an effective anti-aging and longevity extending approach in rodents
35 and monkeys, with possible applications to human beings (Roth et al., 2004). But,
36 this is a highly debatable issue at present both in terms of the practicalities of
37 defining CR and of applying CR in human beings in physiological and evolutionary
38 contexts (Demetrius, 2004).

39 Some studies have reported an extension of lifespan of experimental animals
40 by gene manipulation. For example, overexpression of superoxide dismutase and
41 catalase genes and of heat shock protein (hsp) genes have resulted in the increase in
42 average lifespan in *Drosophila* and nematodes, respectively (Orr and Sohal, 1994;
43 Yokoyama et al., 2002). Such a gene-therapy approach for gerontomodulation
44 requires redesigning the blueprint for structural and functional units of the body at

01 the level of genes, gene products, macromolecular interactions, molecular-milieu
02 interactions, and so on. Considering how little information and knowledge we have
03 at present about all those interacting variants of genes, molecules, milieu and chance,
04 it is not clear what this approach really means in practical and achievable terms.
05 Similarly, although piecemeal replacement of non-functional or half-functional body
06 parts with natural or synthetic parts made of more durable material may provide a
07 temporary solution to the problems of age-related impairments, it does not modulate
08 the underlying aging process as such.

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11 **5.1 Hormesis**

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13 In a more realistic and near-future scenario, a promising approach in aging inter-
14 vention and prevention is based in making use of an organism's intrinsic homeo-
15 dynamic property of self maintenance and repair. Since aging is characterized by
16 a decrease in the adaptive abilities due to progressive failure of homeodynamics,
17 it has been hypothesized that if cells and organisms are exposed to brief periods
18 of stress so that their stress response-induced gene expression is upregulated and
19 the related pathways of maintenance and repair are stimulated, one should observe
20 anti-aging and longevity-promoting effects. Such a phenomenon in which stimu-
21 latory responses to low doses of otherwise harmful conditions improve health and
22 enhance lifespan is known as hormesis.

23 Although the phenomenon of hormesis has been defined variously in different
24 contexts, for example in toxicology, pharmacology and radiation biology (Calabrese
25 and Baldwin, 2000; Parsons, 2000), hormesis in aging is characterized by the
26 beneficial effects resulting from the cellular responses to mild repeated stress
27 (Rattan, 2001). The paradigm of hormesis is moderate exercise which is well known
28 to have numerous beneficial effects despite it being a generator of free radicals,
29 acids, and other damaging effects (McArdle et al., 2002).

30 During the last few years, research done in our labs has shown hormetic effects
31 of mild stress. We have demonstrated the hormetic effects of repeated mild stress
32 (RMS) on human cells undergoing aging in culture. Using a mild stress regime of
33 exposing human skin fibroblasts to 41°C for 1 hr twice a week throughout their
34 replicative lifespan *in vitro*, several beneficial and anti-aging effects have been
35 observed (Rattan et al., 2004). It is important to note that whereas several age-
36 related alterations, such as accumulation of oxidized proteins, levels of various hsp,
37 proteasome activities, and stress resistance, were affected by RMS, there was no
38 change in the proliferative behaviour of cells. This has implications in separating
39 the phenomenon of aging from longevity. It appears that the progression of cellular
40 aging *in vitro* as the increased molecular disorder can be slowed down without
41 upsetting the regulatory mechanisms of cell cycle arrest (Rattan et al., 2004; Rattan
42 et al., 2003). Thus the quality of life of the cell in terms of its structural and
43 functional integrity can be improved without pushing these cells in to potentially
44 carcinogenic hyperproliferative mode.

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01 Other chemical, physical and biological treatments can be used to unravel
02 various pathways of maintenance and repair whose sustained activities improve
03 the physiological performance and survival of cells and organisms. Stresses that
04 have been reported to delay aging and prolong longevity in various systems (for
05 example, yeast, *Drosophila*, nematodes, rodents and human cells) include temper-
06 ature shock, irradiation (UV-, gamma- and X-rays), heavy metals, pro-oxidants,
07 acetaldehyde, alcohols, hypergravity, exercise and CR (Minois, 2000; Hercus et al.,
08 2003; Rattan, 2004). Hormesis-like beneficial effects of chronic but mild under-
09 nutrition have been reported for human beings (Raji et al., 1998). For example, it
10 was reported that peripheral blood lymphocytes isolated from people with low body
11 mass index, representing a group with natural intake of restricted food calories, had
12 higher DNA repair capacity and higher levels of DNA polymerase β , which
13 were also maintained during aging (Raji et al., 1998). Intermittent fasting has been
14 reported to have beneficial effects on glucose metabolism and neuronal resistance
15 to injury (Anson et al., 2003).

16 Although at present there are only a few studies performed which utilize mild
17 stress as a modulator of aging and longevity, hormesis can be a useful experimental
18 approach in biogerontology. However, there are several issues that remain to be
19 resolved before mild stress can be used as a tool to modulate aging and prevent
20 the onset of age-related impairments and pathologies. Some of these issues are:
21 (1) to establish biochemical and molecular criteria for determining the hormetic
22 levels for different stresses; (2) to identify differences and similarities in stress
23 response pathways initiated by different stressors; (3) to quantify the extent of
24 various stress responses; (4) to determine the interactive and pleiotropic effects
25 of various stress response pathways; (5) to adjust the levels of mild stress for
26 age-related changes in the sensitivity to stress; (6) to determine the biological and
27 evolutionary costs of repeated exposure to stress; and (7) to determine the biological
28 significance of relatively small hormetic effects, which may or may not have large
29 beneficial effects during the entire lifespan. Resolution of these issues requires
30 much more research on hormesis than being carried out at present.

31 The proof of the hormetic principle has now been provided by experiments with
32 a wide variety of biological systems and by using a range of physical, chemical and
33 biological stressors. Two of the main lifestyle interventions, exercise and reduced
34 food intake, both of which bring their beneficial and anti-aging effects through
35 hormesis (McArdle et al., 2002; Singh, 2002; Masoro, 1998, 2000; Yu and Chung,
36 2001), are being widely recognized and increasingly practiced as an effective means
37 of achieving a healthy old age.

38 One can also expect the availability of certain nutraceutical and pharmacological
39 hormetic agents to mimic the HS response and CR. For example, bimeclozole, a
40 nontoxic, hydroxylamine derivative with hsp-inducing activity and cytoprotective
41 effects is under Phase II clinical trials (Vigh et al., 1997; Vigh et al., 1998).
42 Celastrol, a quinone methide triterpene which is an active component of certain
43 Chinese medicinal herbs is another hsp-inducing hormetic agent under test for its
44 cytoprotective effects (Westerheide et al., 2004). Curcumin, an Indian yellow spice,

01 has also been shown to have cytoprotective effects through its hormetic action in
02 stimulating the synthesis of hsp (Dunsmore et al., 2001). Similarly, various chemical
03 mimetics of CR, such as 2-deoxy-D-glucose and its analogues (Lane et al., 2002),
04 and resveratrol, which is a polyphenol found in red wine, are being tested for their
05 use as anti-aging hormetic agents (Lamming et al., 2004; Wood et al., 2004).

06 Another small molecule, N⁶-furfuryladenine or kinetin, has been shown to have
07 significant anti-aging (Rattan and Clark, 1994; Rattan, 2002), and anti-thrombotic
08 (Hsiao et al., 2003) effects in human cells. Kinetin is considered to work both as
09 a direct antioxidant (Olsen et al., 1999; Verbeke et al., 2000), and as a hormetic
10 agent by inducing the synthesis of other protective enzymes and hsp (Rattan, 2002;
11 Barciszewski et al., 1999; Holmes-Davis et al., 2001). Although at present the
12 use of kinetin has been limited to being a cosmeceutical ingredient in a range
13 of cosmetics products, its usefulness as a hormetic nutraceutical agent is under
14 investigation.

15 In the consideration of irradiation as a hormetic agent, epidemiologic studies
16 of the public, medical cohorts, and occupational workers confirm that low doses
17 of radiation are associated with reduced mortality from all causes, decreased
18 cancer mortality, and reduced mutation load observed in aging and cancer
19 (Pollycove and Feinendegen, 2001). Increasing use of low-dose total body irradi-
20 ation as an immunotherapy for cancer (Safwat, 2000) also has its basis in
21 hormesis, which, in the not-so-distant future, will be developed into a safe
22 and preventive strategy against a variety of age-related diseases. Hormesis
23 through mental challenge and through mind-concentrating meditational techniques
24 (Bierhaus et al., 2003; De Nicolas, 1998; Kyriazis, 2003) may be useful in stimu-
25 lating inter- and intra-cellular debris-removal processes, and thus preventing the
26 neuronal loss that leads to the onset of age-related neurodegenerative diseases.

27 Finally, it must be emphasized that the goal of research on aging is not to
28 increase human longevity regardless of the consequences, but to increase active
29 longevity free from disability and functional dependence. Healthy old age is an
30 achievable goal that however requires significantly more research support and
31 efforts in biogerontology.

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01 **QUERIES TO BE ANSWERED (SEE MARGINAL MARKS)**

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03 **IMPORTANT NOTE: Please mark your corrections and answers to these**
04 **queries directly onto the proof at the relevant place. Do NOT mark your**
05 **corrections on this query sheet.**

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08 Chapter-01

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